

RANGE OF VALIDITY OF FIELD EMISSION EQUATIONS

A. S. Bahm*, G. A. Schwind and L. W. Swanson
FEI Company, Hillsboro, OR 97124

The analytical expressions for the current density J and total energy distribution (TED) can be derived for electron field emission over a limited range of temperature T , electric field F and work function ϕ . The analytical expressions for J are:

$$J_{CFE} = J_{FN} \frac{\pi p}{\sin(\pi p)} \qquad J_{ES} = J_{SE} \frac{\pi q}{\sin(\pi q)}$$

where p and q are dimensionless parameters with $p(F, T, \phi) = kT/d$ and $q(F, T) = C_3 F^{3/4} / kT$ and J_{FN} and J_{SE} are the well known Fowler-Nordheim and Schottky equations. The analytical expressions for the total energy distribution (TED) $J(E)$ are:

$$TED_{CFE} = C_1 \frac{\exp(E/d)}{1 + \exp(E/pd)} \qquad TED_{ES} = C_2 \frac{\ln[1 + \exp(E/qkT)]}{1 + \exp[(E + \phi - E_s)/kT]}$$

where $d = hf/4\pi(2m\phi)^{1/2}$ and $E_s = (e^3 F / 4\pi\epsilon_0)^{1/2}$ and C_1 and C_2 are constants. Previous studies^{1,2} have examined the range F and T over which these relationships are valid for the CFE (cold field) or ES (extended Schottky) emission regimes. These relationships have been assumed to be reasonably valid for $p < 0.7$ and $q < 0.7$.

This investigation determines the valid ranges by comparison of the analytical expression to a rigorous numerical calculation based on fundamental principles. We specifically focus on the range of values for p and q to find where the above equations compare favorably to the rigorous numerical calculation³. Figs. 1 and 2 show the ratios of the analytically to the numerically calculated values of the current density J and full width at half maximum (FWHM) of the TED for a low (2.5 eV) value of ϕ . From these figures one can see that the CFE analytical expressions for J and the FWHM of the TED are accurate in the range of $p < 0.7$ as expected. Similarly the ES analytical expression for the TED compares favorably with the numerical expression for $q < 0.76$. Surprisingly, the analytical expression for J deviates substantially from the numerical results for $q > 0.2$.

A substantial discrepancy between $J(A)$ and $J(N)$ in the ES region, as shown in Fig. 3, holds for all values of ϕ and suggests caution should be exercised when using J_{ES} to extract emission parameters, such as ϕ and $\beta = F/V$, from experimental $I(V)$ data. The valid regions of p and q can be used to find valid ranges for T , F and ϕ . Fig. 3 shows the temperature range over which the analytical expressions are valid for $\phi = 2.5$ eV. It is also clear there is a temperature range for which only numerical solutions are available for J and $J(E)$. Further comparison between the analytical and numerical values for J and $J(E)$ at other values of T , F and ϕ will be given to determine valid ranges for each.

* email: Alan.Bahm@fei.com

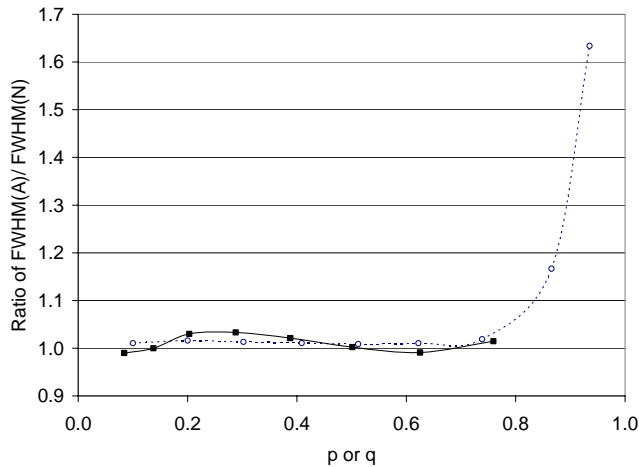


Fig. 1 Curves show the ratio of the analytical (A) J to the numerical (N) J as a function of p and q at $\phi=2.5$ eV. Ideally, the ratio would be 1.0.

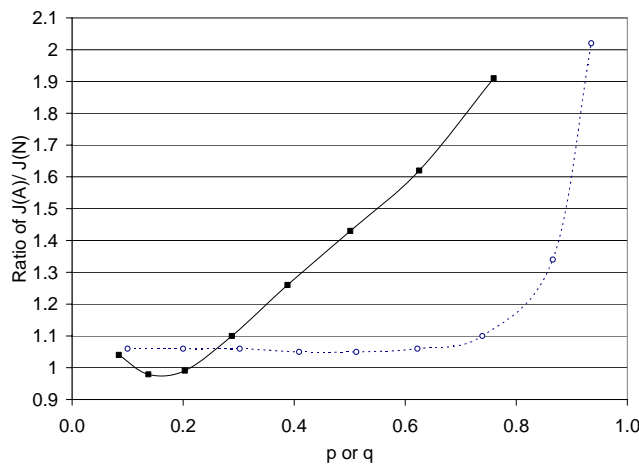


Fig. 2 Curves show the ratio of the analytical (A) $FWHM$ to the numerical (N) $FWHM$ as a function of p and q at $\phi=2.5$ eV. Ideally, the ratio would be 1.0.

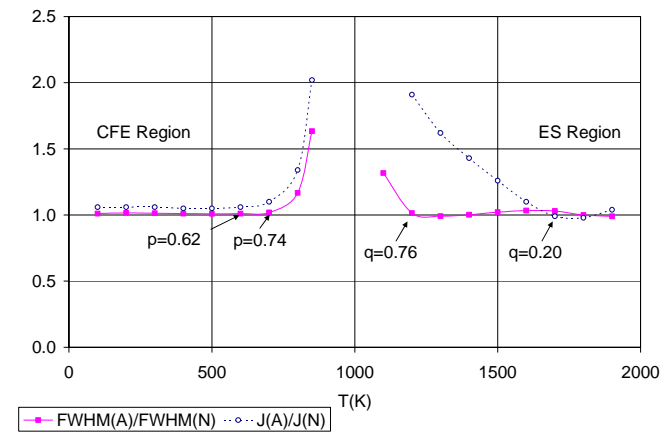


Fig. 3 Curves show the ratio of the analytical (A) to the numerical (N) for J and $FWHM$ of the TED at $\phi = 2.5$ eV. All curves are calculated at $J=1 \times 10^9$ A/m².

¹ M. Fransen, J. Faber, T. van Rooy, P. Timeijer and P. Kruit, *J. Vac. Sci. Technol.* **B16(4)**, 2063 (1998)
² A. El-Kareh, J. C. Wolfe, and J. E. Wolfe, *J. Appl. Phys.* 48, 4749 (1977)
³ A. Modinos, *Field, Thermionic and Secondary Electron Emission Spectroscopy*, Springer, 1984 (ISBN 978-0306413213)